



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of

Charles W. PROPST, Jr.

Group Art Unit: 1731

Serial No.: 10/691,700

Examiner: D. Cordray

Filed: October 24, 2003

Confirmation No.: 6803

For: COATING COMPOSITIONS COMPRISING ALKYL KETENE DIMERS AND ALKYL SUCCINIC ANHYDRIDES FOR USE IN PAPER MAKING

**CERTIFICATION UNDER 37 CFR §1.97(e)(1) AND SUBMISSION OF  
INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to Rule 56, applicant hereby calls to the attention of the Patent Office the references listed on the attached PTO Form 1449. These references were cited in an Office Action dated August 13, 2006. A copy of the English language translation of the Office Action is enclosed for the examiner's convenience.

As required by 37 CFR 1.97(e)(1), it is hereby certified that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a counterpart international application not more than three months prior to the filing of this Information Disclosure Statement as evidenced by the attached copy of said communication.

Applicant presents these references so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention; see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970).

Applicant respectfully requests that these references be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,



Thomas P. Pavelko  
Registration No. 31,689

TPP/mat  
Attorney Docket No.: TPP 31437A

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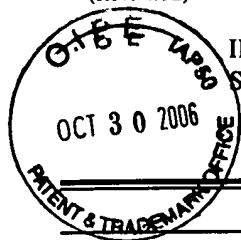
Facsimile: (202) 408-5200 or (202) 408-5088

Date: October 30, 2006

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

ATTY. DOCKET NO.  
TPP 31437A

SERIAL NO.  
10/691,700



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

APPLICANT  
Charles PROPST, Jr. et al

FILING DATE  
October 24, 2003

Group Art Unit:  
6803

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 8 5 8 1 7 3	01/99	Propst, Jr.			
	5 8 7 6 5 6 2	03/99	Johansson			
	6 4 1 4 0 5 5	07/02	Lauzon			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
0 2 2 5 0 1 3	03/02	WO				
0 4 9 9 4 4 8	08/92	EP				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Copy of Office Action dated August 13, 2006

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.